



JC06 Rec'd PCT/PTO 09 SEP 2005 p01

Practitioner's Docket No. 117040.00086

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ashkenasi, David; Rosenfeld, Arkadi; Knappe, Verena; and Müller, Gerhard

Application No.: 10/520,336

Group No.: Not Yet Assigned

Filed: January 5, 2005

Examiner: Not Yet Assigned

For: MICROSTRUCTURING OF OPTICAL WAVEGUIDES TO PRODUCE OPTICAL FUNCTIONAL ELEMENTS

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

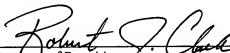
The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

Date: September 7, 2005

Reg. No.: 45,835

Tel. No.: 330-864-5550

Customer No.: 021324


Signature of Practitioner

Robert J. Clark

Hahn Loeser & Parks, LLP

One GOJO Plaza

Suite 300

Akron, OH 44311-1076

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10

(When using Express Mail, the Express Mail label number is **mandatory**; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

☒ with sufficient postage as first class mail.

37 C.F.R. § 1.10*

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. _____ (mandatory)

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703) _____


Signature

Robert J. Clark

(type or print name of person certifying)

Date: September 7, 2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ashkenasi et al. **Examiner:** Not Yet Assigned
Serial Number: 10/520,336 **Art Unit:** Not Yet Assigned
Filing Date: January 5, 2005 **Date:** September 7, 2005
For: MICROSTRUCTURING OF OPTICAL WAVEGUIDES TO PRODUCE OPTICAL
FUNCTIONAL ELEMENTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97**

This Information Disclosure Statement is filed within three months of the filing date of this application or before the mailing of an Office Action on the merits, whichever event occurs last, and therefore is considered timely under 37 CFR §1.97(b).

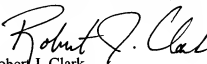
According to the terms of 37 CFR §1.97(g), this Information Disclosure Statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13, at 25. This Information Disclosure Statement is made to comply with the duty of candor imposed on all individuals associated with the filing or prosecution of this application, as defined by 37 CFR §1.56(c).

A list of eleven (11) references cited by the applicant is enclosed on the PTO/SB/08A and PTO/SB/08B (substitute PTO-1449) forms, which are attached and made a part thereof. Copies of eight (8) references (AA-AC and AE-AI) cited in the PCT International Search Report for International Application No. PCT/EP03/07183 dated January 14, 2004, along with a copy of the Search Report (AK) are enclosed.

The foregoing Information Disclosure Statement Under 37 C.F.R. §1.97 is based upon the information contained in the undersigned attorney's file as of the filing date of this statement, and is inclusive of the best information known to the undersigned at that date. Prompt consideration of the Information Disclosure Statement under 37 C.F.R. §1.97 and the references cited therein by the Examiner is respectfully requested.

Respectfully submitted,
HAHN LOESER & PARKS, LLP


Robert J. Clark
Registration No. 45,835

RJC/pam

One GOJO Plaza
Suite 300
Akron, Ohio 44311-1076
Telephone: (330) 864-5550



PTO/SB/08a (07-05)

Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number 10/520,336
Filing Date 01/05/2005
First Named Inventor David Ashkenasi
Art Unit Not Yet Assigned
Examiner Name Not Yet Assigned
Attorney Docket Number 117040.00086

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US- 4,466,697	08/21/1984	Daniel	
	AB	US- 2002/0085824 A1	07/04/2002	Dugan et al.	
	AC	US- 2001/0021293 A1	09/13/2001	Kouta et al.	
	AD	US- 6,384,977 B1	05/07/2002	Laming et al.	
	US-				
	US-				
	US-				
	US-				
	US-				
	US-				
	US-				
	US-				
	US-				
	US-				
	US-				
	US-				
	US-				
	US-				
	US-				
	US-				
	US-				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	AE	WO 00/79319 A1	12/28/2000	Gu et al.		
	AF	DE 197 39 456 A1	03/04/1999	Glott et al.		
	AG	WO 99/23041	05/14/1999	Rychnovsky et al.		
	AH	EP 1 116 965 A1	07/18/2001	Miura et al.		
	AI	WO 01/09899 A1	02/08/2001	Borrelli et al.		

Examiner Signature	/Erin Chiem/	Date Considered	04/14/2008
--------------------	--------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.C./



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/520,336
Filing Date	01/05/2005
First Named Inventor	David Ashkenasi
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	117040.00086

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
AJ		STUART, ET AL., "Laser-Induced Damage in Dielectrics with Nanosecond to Subpicosecond Pulses," The American Physical Society, Physical Review Letters, Vol. 74, No. 12, p. 2248-2251, (March 20, 1995).	
AK		International Search Report for International Application No. PCT/EP03/07183 (January 14, 2004)	

Examiner
Signature

/Erin Chiem/

Date
Considered

04/14/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.C./